



11-19-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	)	Examiner: Unassigned
	)	
Jefferson C. EMERY, et al.	)	Group Art Unit: 1645
	)	
Application Serial No. 10/659,825	)	Attorney's Docket No. 39766-0113A
	)	
Filed: September 10, 2003	)	Customer No. 25213
	)	
For: PROTEIN PURIFICATION	)	
	)	
	)	
	)	

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DATE MAILED: NOVEMBER 17, 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- |                                     |  |
|-------------------------------------|--|
| <input type="checkbox"/>            | (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d) |
|                                     | -- OR --   |
| <input type="checkbox"/>            | (2) It is being filed within 3 months of entry of a national stage   |
|                                     | - OR -   |
| <input checked="" type="checkbox"/> | (3) It is being filed before the mail date of the first Office Action on the merits  |
|                                     | -- OR --   |

- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided; or
- ☐ a fee of **\$180.00** as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of **\$0.00** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. **08-1641 (Attorney's Docket No. 39766-0113A)**.

Respectfully submitted,

Dated: November 17, 2004

By: 

Ginger R. Dreger (Reg. No. 33,055)

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<b>INFORMATION DISCLOSURE STATEMENT</b>  PTO-1449			<b>ATTY. DOCKET NO.:</b> 39766-0113A		<b>SERIAL NO.:</b> 10/659,825		
			<b>APPLICANT :</b> Jefferson C. EMERY, et al.				
			<b>FILING DATE:</b> September 10, 2003		<b>GROUP:</b> 1645		
<b>U.S. PATENT DOCUMENTS</b>							
<b>EXAMINER'S INITIALS</b>	<b>PATENT NO.</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE</b>	
	6,433,144	8/13/2002	Joseph P. Morris, et al.	530	351	January 12, 1999	
	6,339,142	1/15/2002	Carol D. Basey, et al.	530	387.3	October 3, 2000	
	6,417,355	7/09/2002	Robert Dale Chapman, et al.	540	466	April 11, 2001	
<b>FOREIGN PATENT DOCUMENTS</b>							
<b>EXAMINER'S INITIALS</b>	<b>PATENT NO.</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b>	
						<b>YES</b>	<b>NO</b>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
<b>EXAMINER</b>			<b>DATE CONSIDERED</b>				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.